

UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)Docket No.  
RESP:111US

Total Pages in this Submission

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD AND APPARATUS FOR ANALYZING AND/OR COMPARING HANDWRITTEN AND/OR BIOMETRIC SAMPLES

and invented by:

Sargur SRIHARI et al.

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

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☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

## Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 50 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☒ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)

a. ☒ Formal Number of Sheets 50  
b. ☐ Informal Number of Sheets \_\_\_\_\_

4. ☒ Oath or Declaration

a. ☐ Newly executed (original or copy) ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  
c. ☐ With Power of Attorney ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)  
7. ☐ Application Data Sheet (See 37 CFR 1.76)  
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)  
a. ☐ Computer Readable Form (CFR)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☐ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Certificate of Mailing  
☐ First Class ☒ Express Mail (Specify Label No.): EV 307461606 US

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Accompanying Application Parts (Continued)

17. ☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_

18. ☐ Additional Enclosures (please identify below):

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. ☒ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	22	- 20 =	2	x \$9.00	\$18.00
Indep. Claims	6	- 3 =	3	x \$42.00	\$126.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$375.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$519.00

- ☒ A check in the amount of \$519.00 to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0822 as described below.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: June 30, 2003



24041

PATENT TRADEMARK OFFICE

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CC:

*Sumita Chowdhury-Ghosh*  
Signature

Sumita Chowdhury-Ghosh, Ph.D.  
Registration No. 50,476  
CUSTOMER NO. 24041  
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5555 Main Street  
Williamsville, NY 14221-5406  
Telephone No. 716-626-1564



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**RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Sargur SRIHARI et al.  
New U.S. Utility Patent Application  
For: METHOD AND APPARATUS FOR ANALYZING AND/OR COMPARING  
HANDWRITTEN AND/OR BIOMETRIC SAMPLES

Transmitted herewith is:

- (1) Utility Patent Application Transmittal Letter (Small Entity)
- (1) Certificate of Mailing by Express Mail Label No. EV 307461606 US
- (1) Check in the amount of \$519.00 for Filing Fee
- (1) Declaration and Power of Attorney (Unexecuted)
- (1) Specification
- (50) Sheets of Formal Drawings
- (1) Acknowledgment Postcard

16670 U.S. PTO

10/611329



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Attorney Docket No. 554.RESP:111US Customer No. 24041

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**SIMPSON & SIMPSON**

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NY 14221-3430

Attorney Docket No.

554,RESP:111US

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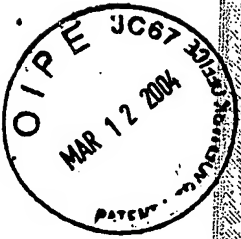
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MEMO:

New Utility Patent Application - 554 RESP:111

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